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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group No: N/A
H. Kubo et al : Examiner: N/A
Serial No: 09/961,020 :
Filed: 09/21/2001 : IBM Corporation
: Intellectual Property Law
For: APPARATUS & METHOD FOR : 1701 North St. /Dept. N50
RECORDING AND REPRODUCING : Endicott, NY 13760
DIGITAL DATA :
: Docket: JP920000259US1

RESPONSE TO "NOTICE TO FILE MISSING PARTS OF APPLICATION"

Assistant Commissioner for Patents

Washington, DC 20231

Dear Sir:

In response to the "Notice To File Missing Parts of Application" received in the present patent application and dated 10/25/2001, enclosed please find a fully executed declaration. The declaration is being submitted on 12/13/2001 which is within two months from the date of the notice.

Please charge the surcharge fee, under 37 CFR 1.16(e), of \$130.00 to our deposit account number 09/0457. A duplicate copy of this letter is enclosed.

Respectfully,

Date: 12/13/01
WHS/bjf
Telephone: (607) 755-3203

By: William H. Steinberg
William H. Steinberg
Reg. No: 28,540

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/961,020	09/21/2001	Hiroaki Kubo	JP920000259US1

CONFIRMATION NO. 5130

FORMALITIES LETTER



OC00000006974264

IBM Corporation
Dept. N50/Bldg. 40-4
1701 North Street
Endicott, NY 13760

Date Mailed: 10/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/11/2002 NMHAMM1 00000020 090457 09961020

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): H. Kubo et al

Docket No.

JP920000259US1

Serial No.
09/961,029

Filing Date
09/21/2001

Examiner
N/A

Group Art Unit
N/A

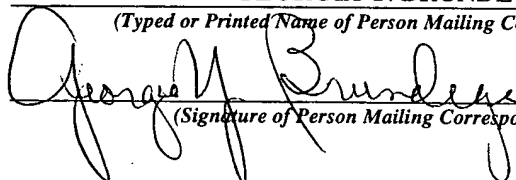
Invention: APPARATUS AND METHOD FOR RECORDING AND REPRODUCING DIGITAL DATA



I hereby certify that this Notice to File Missing Parts, Response to Notice, Declaration and Post Card
(Identify type of correspondence)
is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The
Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on 12/13/2001
(Date)

GEORGIA Y. BRUNDEGE

(Typed or Printed Name of Person Mailing Correspondence)


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